

09/27/00
JC772 U.S. PTO

A/RE

REISSUE PATENT APPLICATION TRANSMITTAL

Assistant Commissioner for Patents
Box REISSUE
Washington, DC 20231

Attorney Docket No.
First Named Inventor
Original Patent Number
Original Patent Issue Date (Month/Day/Year)

041-1714BRI
Yoshiaki KOMMA, et al.
5,815,293
September 29, 1998

JC675 U.S. PTO
09/27/00
09/27/00

APPLICATION FOR REISSUE OF:

- ☒ Utility Patent
☐ Plant Patent
☐ Design Patent

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (Submit an original, and a duplicate for fee processing)
2. ☒ Specification and Claims (amended, if appropriate)
3. ☒ Drawing(s) (proposed amendments, if appropriate)
4. ☐ Reissue Oath / Declaration (original or copy) (37 C.F.R. § 1.175)

5. Original U.S. Patent

- ☐ Offer to Surrender Original Patent (37 C.F.R. § 1.178), or
- ☐ Ribbioned Original Patent Grant
 - ☐ Affidavit / Declaration of Loss

6. Original U.S. Patent currently assigned?

- ☒ Yes
☐ No

(If Yes, check applicable box(es))

- ☒ Written Consent of all Assignees
☒ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney

7. ☒ Foreign Priority Claim (35 U.S.C. 119) (if applicable)

8. ☐ Information Disclosure Statement /PTO-1449 ☐ Copies of IDS Citations

9. ☐ English Translation of Reissue Oath/Declaration) (if applicable)

10. ☐ Small Entity Statements ☐ Statement filed in prior application, Status still proper and desired
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (Should be specifically itemized)
13. ☒ Other: Request for Examiner's Approval of Drawing Change


14. CORRESPONDENCE ADDRESS

Please address all correspondence to the following address:

Israel Gopstein, Esq.
Lowe Hauptman Gopstein Gilman & Berner, LLP
1700 Diagonal Road
Suite 310
Alexandria VA 22314

Telephone (703) 684-1111
Fax (703) 518-5499

Signature



Date September 27, 2000

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 1999

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) **4,960.00****Complete if Known**

Application Number	
Filing Date	September 26, 2000
First Named Inventor	Yoshiaki KOMMA et al.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	041-1714BRI

METHOD OF PAYMENT (check one)

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number Deposit Account Name ☐ Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Money Order ☐ Other FORM 2038**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 760	201 380	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 760	208 380	Reissue filing fee	760.00
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) **760.00****2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
132	-20** = 112	X 18 =	2,016
Independent Claims	29 - 3** = 28	X 78 =	2,184
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) **4,200****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 760	246 380	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 760	249 380	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **0****SUBMITTED BY**

Name (Print/Type)	ISRAEL GOPSTEIN	Registration No. (Attorney/Agent)	27,333	Complete (if applicable)	Telephone	(703) 684-1111
Signature		Date	Sept. 27, 2000			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Docket No.: 041-1714BRI

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yoshiaki KOMMA et al.

Reissue of Patent 5,815,293

Serial No.: Not yet assigned

Filed: HERewith

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:
:

Group Art Unit: unassigned

Examiner: unassigned

For: COMPOUND OBJECTIVE LENS HAVING TWO FOCAL POINTS, IMAGING OPTICAL SYSTEM FOR CONVERGINING LIGHT WITH THE COMPOUND OBJECTIVE LENS, OPTICAL HEAD APPARATUS FOR RECORDING OR REPRODUCING INFORMATION WITH THE IMAGING OPTICAL SYSTEM, OPTICAL DISK HAVING TWO TYPES OF RECORDING

CLAIM FOR PRIORITY

Box REISSUE

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants hereby claim foreign priority benefits under Title 35, United States Code, Section 119, of any foreign applications for patent or inentor's certificates listed below. The certified copies were filed on March 30, 1994, in a grand-parent application:

Application No.	Country	Date Filed	Priority Claimed	
5-14432	JAPAN	1 FEBRUARY, 1993	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5-193353	JAPAN	4 AUGUST 1993	<input checked="" type="checkbox"/>	<input type="checkbox"/>
			yes	no

Respectfully submitted,

LOWE HAUPTMAN GOPSTEIN
GILMAN & BERNER, LLP.



Israel Gopstein

1700 Diagonal Road, Suite 310
Alexandria, Virginia 22314
Dated: September 27, 2000 IG:/

Telephone: 703-684-1111
Direct Line: 703-535-7052
Facsimile: 703-518-5499

Docket No.: 041-1714BRI

6 / Kraftsmans
letter
E. Millis
2-20-01
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yoshiaki KOMMA et al.

Reissue of Patent 5,815,293

Serial No.: Not yet assigned

Group Art Unit: unassigned

Filed: HERewith

Examiner: unassigned

For: COMPOUND OBJECTIVE LENS HAVING TWO FOCAL POINTS, IMAGING OPTICAL SYSTEM FOR CONVERGINING LIGHT WITH THE COMPOUND OBJECTIVE LENS, OPTICAL HEAD APPARATUS FOR RECORDING OR REPRODUCING INFORMATION WITH THE IMAGING OPTICAL SYSTEM, OPTICAL DISK HAVING TWO TYPES OF RECORDING

REQUEST FOR EXAMINER'S APPROVAL OF DRAWING CHANGE

Box REISSUE

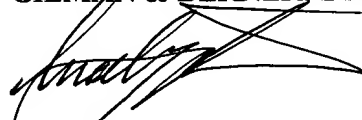
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The Examiner's approval is requested for the drawing change shown in red in the accompanying photoprint of Fig. 5, wherein reference numerals 26A asnd 26B are interchanged. The change corrects an inadvertent error and a corrected formal drawing will be provided after approval of the proposed change.

Respectfully submitted,

LOWE HAUPTMAN GOPSTEIN
GILMAN & BERNER, LLP.



Israel Gopstein

1700 Diagonal Road, Suite 310
Alexandria, Virginia 22314
Telephone: 703-684-1111
Direct Line: 703-535-7052
Facsimile: 703-518-5499
Dated: September 27, 2000 IG:/